

Registry No.—I, 24705-21-3; malachite green, 14426-28-9; tri-fluoroethanol, 75-89-8; propargyl alcohol, 107-19-7; choline, 62-49-7; tri-*p*-anisylmethyl cation, 14039-13-5.

### References and Notes

- (1) Support of this work by the National Science Foundation is gratefully acknowledged.
- (2) G. S. Hartley, *Trans. Faraday Soc.*, **30**, 444 (1934); G. S. Hartley and J. W. Roe, *ibid.*, **36**, 101 (1940).
- (3) E. F. J. Duynstee and E. Grunwald, *J. Am. Chem. Soc.*, **81**, 4540, 4542 (1959).
- (4) J. Albrizzio, A. Archila, T. Rodolfo, and E. H. Cordes, *J. Org. Chem.*, **37**, 871 (1972).
- (5) E. H. Cordes and R. B. Dunlap, *Acc. Chem. Res.*, **2**, 329 (1969); E. H. Cordes and C. Gitler, *Prog. Bioorg. Chem.*, **2**, 1 (1973).
- (6) E. J. Fendler and J. H. Fendler, *Adv. Phys. Org. Chem.*, **8**, 271 (1970).
- (7) C. A. Bunton, *Prog. Solid State Chem.*, **8**, 239 (1973).
- (8) E. H. Cordes, Ed., "Reaction Kinetics in Micelles", Plenum Press, New York, N.Y., 1973.
- (9) W. Tagaki, M. Chigira, T. Amada, and Y. Yano, *Chem. Commun.*, 219 (1972); J. M. Brown and C. A. Bunton, *ibid.*, 969 (1974); J. M. Brown, C. A. Bunton, and S. Diaz, *ibid.*, 971 (1974); P. Heitmann, R. Husing-Bubiltz, and H. J. Zunft, *Tetrahedron*, **30**, 4137 (1974).
- (10) C. A. Bunton and L. G. Ionescu, *J. Am. Chem. Soc.*, **95**, 2912 (1973).
- (11) R. M. C. Dawson, D. C. Elliott, W. H. Elliott, and K. M. Jones, "Data for Biochemical Research", Clarendon Press, Oxford, 1959.
- (12) C. A. Bunton, A. A. Kamego, M. J. Minch, and J. L. Wright, *J. Org. Chem.*, **40**, 1327 (1975).
- (13) For discussions of nucleophilicities toward carbocations see ref 14–16.
- (14) C. D. Ritchie, *Acc. Chem. Res.*, **5**, 348 (1972), and references cited therein.
- (15) (a) C. A. Bunton and S. K. Huang, *J. Am. Chem. Soc.*, **94**, 3536 (1972); (b) *ibid.*, **96**, 515 (1974).
- (16) M. J. Postle and P. A. H. Wyatt, *J. Chem. Soc., Perkin Trans. 2*, 474 (1972).
- (17) S. Diaz, unpublished results.
- (18) B. M. Anderson, E. H. Cordes, and W. P. Jencks, *J. Biol. Chem.*, **236**, 455 (1961); W. P. Jencks and M. Gilchrist, *J. Am. Chem. Soc.*, **84**, 2910 (1962).
- (19) T. C. Bruice, T. H. Fife, J. J. Bruno, and N. E. Brandon, *Biochemistry*, **1**, 7 (1962).
- (20) C. A. Bunton and S. K. Huang, *J. Org. Chem.*, **37**, 1790 (1972).
- (21) G. Meyer, *Tetrahedron Lett.*, 4581 (1972); V. Gani, C. Lapinte, and P. Viout, *ibid.*, 4435 (1973).
- (22) C. A. Bunton, A. A. Kamego, and P. Ng, *J. Org. Chem.*, **39**, 3469 (1974).
- (23) K. Martinek, A. V. Levashov, and I. V. Berezin, *Tetrahedron Lett.*, 1275 (1975).
- (24) P. Mukerjee and K. J. Mysels, "Critical Micelle Concentrations of Aqueous Surfactant Systems", National Bureau of Standards, Washington, D.C., 1971.
- (25) P. Ballinger and F. A. Long, *J. Am. Chem. Soc.*, **81**, 1050 (1959); **82**, 795 (1960).
- (26) C. A. Bunton and S. K. Huang, *J. Am. Chem. Soc.*, **95**, 2701 (1973).
- (27) R. G. Pearson and J. Songstad, *J. Am. Chem. Soc.*, **89**, 1827 (1967).
- (28) B. Holmquist and T. C. Bruice, *J. Am. Chem. Soc.*, **91**, 2982 (1969).
- (29) F. M. Menger and C. E. Portnoy, *J. Am. Chem. Soc.*, **89**, 4968 (1967).
- (30) C. A. Bunton, E. J. Fendler, L. Sepulveda, and K.-U. Yang, *J. Am. Chem. Soc.*, **90**, 5512 (1968).
- (31) C. A. Bunton and L. Robinson, *J. Am. Chem. Soc.*, **90**, 5972 (1968); C. A. Bunton and B. Wolfe, *ibid.*, **95**, 3742 (1973).

## Influence of the *o*-Nitro Group on Base Catalysis in Nucleophilic Aromatic Substitution. Reactions in Benzene Solution<sup>1</sup>

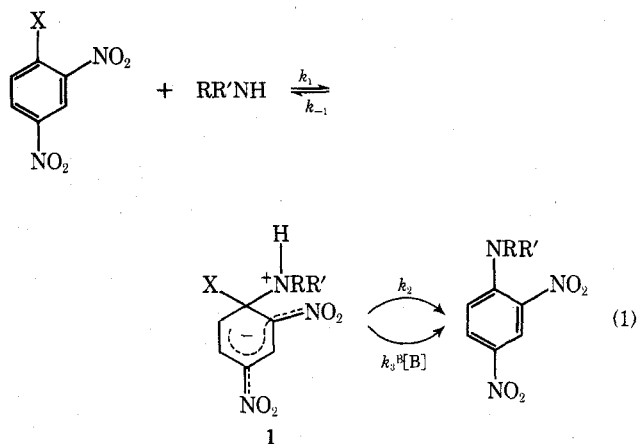
Claude F. Bernasconi<sup>\*2a</sup> and Rita H. de Rossi<sup>2b</sup>

Thimann Laboratories of the University of California, Santa Cruz, California 95064

Received July 9, 1975

There exist two explanations why nucleophilic aromatic substitutions by secondary amines are frequently more prone to base catalysis than analogous reactions with a primary amine of comparable  $pK_a$ . Both are based on the intermediate complex mechanism of eq 1. The first invokes a steric acceleration of the  $k_{-1}$  step in the case of secondary amines which reduces  $k_2/k_{-1}$  (and  $k_3^B/k_{-1}$ ) compared to primary amines. The second explanation, initially based on the observation that practically all known examples involved *o*-nitro substituted substrates, invokes intramolecular hydrogen bonding to the *o*-nitro group. Its effect is to lower  $k_{-1}$  about equally for primary and secondary amines, but to lower  $k_2$  more for secondary than for primary amines, thus making  $k_2/k_{-1}$  larger for primary amines than for secondary amines. Kinetic data on reactions of *n*-butylamine and of piperidine with 1-fluoro-2,4-dinitrobenzene, 1-fluoro-4-nitronaphthalene, and 1-fluoro-4,5-dinitronaphthalene in benzene are presented which support the hydrogen bonding theory. The data are also shown to be most consistent with the SB-GA mechanism of base catalysis in this solvent.

It is well known that some nucleophilic aromatic substitution reactions involving amines as nucleophiles are subject to base catalysis whereas others are insensitive to the addition of base.<sup>3</sup> This has been rationalized in terms of the intermediate complex mechanism where the intermediate may be transformed into products either directly ( $k_2$ ) or by a base-catalyzed route ( $k_3^B$ ); eq 1 is representative for the most frequently studied type of substrates, viz., 1-substituted 2,4-dinitrobenzene derivatives. When the product-forming steps are much faster than the reversion of the intermediate to reactants ( $k_2 + k_3^B[B] \gg k_{-1}$ ), intermediate formation ( $k_1$ ) is rate determining and no base catalysis can be observed. When the rate of the product-forming steps is slower or at least does not greatly exceed the rate of reversion ( $k_2 + k_3^B[B] \lesssim k_{-1}$ ), the net reaction is susceptible to base catalysis.



The same conclusions follow from eq 2 which is derived for system 1 on the basis of the steady state assumption.

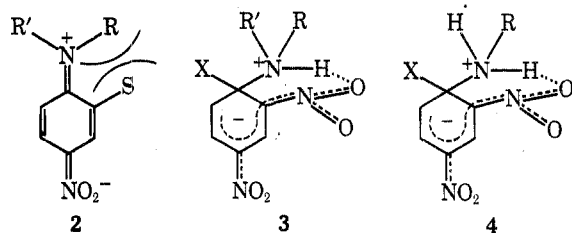
$$\frac{\text{rate}}{[\text{ArX}][\text{RR}'\text{NH}]} = k_A = \frac{k_1 k_2 + k_1 k_3^B [\text{B}]}{k_{-1} + k_2 + k_3^B [\text{B}]} \quad (2)$$

Whether in a given reaction  $k_2 + k_3^B [\text{B}] \gg k_{-1}$ , or  $k_2 + k_3^B [\text{B}] \lesssim k_{-1}$ , depends on a variety of factors which have been discussed recently.<sup>3a</sup> From the manner in which  $k_A$  depends on base concentration one may be able either to evaluate the ratios  $k_2/k_{-1}$ ,  $k_3^B/k_{-1}$  and  $k_3^B/k_2$ , or at least to set low or high limits on these ratios.<sup>3a</sup>

The phenomenon of present concern is the frequent observation that the ratios  $k_2/k_{-1}$  and  $k_3^B/k_{-1}$  are considerably higher for primary amines than for secondary amines of comparable basicity in otherwise identical reactions. Experimentally this manifests itself in two ways. First a number of reactions with secondary amines are base catalyzed ( $k_2/k_{-1} < 1$ )<sup>3a</sup> whereas the same reaction with a primary amine is not ( $k_2/k_{-1} \gg 1$ ).<sup>3a</sup> Second, reactions involving primary amines sometimes show a curvilinear dependence on base concentration ( $k_3^B [\text{B}]/k_{-1} < 1$  at low  $[\text{B}]$ ,  $k_3^B [\text{B}]/k_{-1} > 1$  at high  $[\text{B}]$ )<sup>3a</sup> whereas the response is linear when the reaction is with a secondary amine ( $k_3^B [\text{B}]/k_{-1} \ll 1$  over the entire range of  $[\text{B}]$ ).<sup>3a</sup>

In principle, the smaller  $k_2/k_{-1}$  and  $k_3^B/k_{-1}$  ratios for secondary amines could be the consequence of smaller  $k_2$  and  $k_3^B$ , or of larger  $k_{-1}$ , or a combination of both. An attractive explanation, offered by Bunnett and Garst,<sup>4</sup> invokes a steric compression (between amine and aromatic ring plus ortho substituents) in the intermediate in the case of secondary amines. Release of the steric strain enhances  $k_{-1}$  and thereby reduces  $k_2/k_{-1}$  and  $k_3^B/k_{-1}$  for secondary amines.

Another possible steric factor which has the effect of reducing  $k_2$  and  $k_3^B$  is the hindrance, by an ortho substituent, of the developing resonance in the product (2). Which



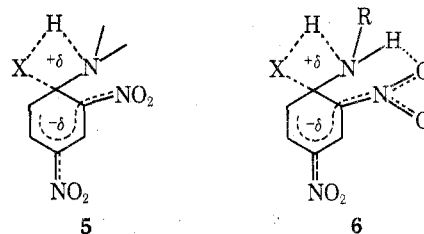
of these steric effects are potentially more important greatly depends on how close the respective transition states are to the intermediate, a question which is difficult to answer. Since both types of steric effects lead to a lowering in  $k_2/k_{-1}$  and  $k_3^B/k_{-1}$ , we shall not distinguish between them in the following discussions but simply refer to them as "the steric effect".<sup>5</sup>

It appears to us that the steric effect almost certainly plays a role in discriminating between primary and secondary amines, but it may not be the only factor. In a recent review<sup>3a</sup> we pointed out that practically all rate data which permit a comparison of  $k_2/k_{-1}$  and  $k_3^B/k_{-1}$  ratios for primary and secondary amines involve substrates with an *o*-nitro group. This fact and a number of other observations<sup>3a</sup> led us to suggest that intramolecular hydrogen bonding in the zwitterionic form of the intermediate, as shown in 3 and 4, might be an additional significant factor in this discrimination, particularly in nonpolar solvents.

The argument, briefly restated, runs as follows. Intramolecular hydrogen bonding stabilizes the intermediate with the following consequences. (1) There is a decrease in  $k_{-1}$  because breaking the C-N bond requires also breaking of the hydrogen bond, and therefore some extra activation en-

ergy. This effect is presumably about equal for primary or secondary amines of equal basicity.

(2) The mechanism of the  $k_2$  step involves a transfer of an ammonio proton to the leaving group in concert with leaving group departure (transition state 5).<sup>3a</sup> In the case of



secondary amines there is only one such proton available and it is tied up in the hydrogen bond to the *o*-nitro group in the intermediate (3). To make it available for catalysis in 5 the hydrogen bond has first to be broken which adds to the activation energy of the  $k_2$  step and thus reduces  $k_2$  in a similar way as it reduces  $k_{-1}$ . In the case of primary amines there is a nonhydrogen bonded proton available (4) and thus, in a first approximation no hydrogen bond needs to be broken in going to 5, and  $k_2$  remains unaffected. This is of course not exactly true since transferring the available proton to the incipient anion  $X^-$  reduces the acidity of the bonded hydrogen in 4 and thus reduces the stabilization through hydrogen bonding. However this is in turn partially compensated by an increase in acidity due to transformation from an aliphatic to an aromatic amine. To put it another way, the transition state of the  $k_2$  step for primary amines (6) would benefit somewhat from intramolecular hydrogen bonding but not as much as the intermediate 4. However, since there is absolutely no such stabilization in the transition state of the  $k_2$  step for secondary amines, the net effect of introducing an *o*-nitro group is to make  $k_2/k_{-1}$  larger for primary than for secondary amines.

(3) The effect on  $k_3^B$  and thus on  $k_3^B/k_{-1}$  is more difficult to predict because the details of the mechanism of the  $k_3^B$  step in nonpolar solvents are not settled.<sup>3a,6</sup> Though it is obvious that the acidic proton in the zwitterion is removed during the reaction this could happen in different ways, such as (a) rate-limiting proton transfer to the base followed by rapid leaving group expulsion, (b) rapid equilibrium deprotonation followed by slow leaving group expulsion, catalyzed by the conjugate acid of the catalyst (SB-GA mechanism<sup>3a</sup>), (c) concerted proton transfer and leaving group expulsion, perhaps with a cyclic transition state where the amine acts as a bifunctional catalyst. In mechanisms a and c deprotonation of the intermediate is the, or part of the, rate-limiting process. Hence  $k_3^B$  should be similarly affected by an *o*-nitro group as  $k_2$ . For the SB-GA mechanism the situation is different; here the proton is removed in a rapid equilibrium step which for both types of amines involves a conversion of a zwitterion, stabilized by an intramolecular hydrogen bond, into a nonstabilized anionic intermediate (this implies that intramolecular hydrogen bonding to the ortho group is minimal in the anionic form of the intermediate in the case of a primary amine). Hence the *o*-nitro group should not have a discriminating influence on  $k_3^B$  (and with it on  $k_3^B/k_{-1}$ ) between primary and secondary amines if the SB-GA mechanism prevails.<sup>7</sup>

It should be pointed out that the idea of intramolecular bonding to the *o*-nitro group is not new. For example, Bunnett and Morath<sup>8</sup> explained the stronger activation of an *o*-nitro group compared to a *p*-nitro group in reactions with amines by a stabilizing effect on the transition state of the  $k_1$  step; they called the effect "built-in solvation" which

Table I  
Reactions of 1-Fluoro-2,4-dinitrobenzene with  
Piperidine in Benzene at 25°<sup>a</sup>

10 <sup>3</sup> [piperidine], M	$k_{\psi}$ , sec <sup>-1</sup>	$k_A^b$ , M <sup>-1</sup> sec <sup>-1</sup>
0.306	0.00026	0.85
1.02	0.00152	1.49
2.04	0.00388	1.90
3.06	0.00770	2.52
5.10	0.0175	3.44
10.2	0.067	6.57
20.4	0.259	12.7
50.0	1.13	22.6
100	3.60	36.0
200	10.26	51.3
300	18.6	62.1
400	27.7	69.2
500	38.1	76.1

<sup>a</sup> [Substrate]<sub>0</sub> = 1.5–4.0 × 10<sup>-5</sup> M; runs at [pip] ≤ 3.06 × 10<sup>-3</sup> M measured on conventional spectrophotometer, runs at [pip] ≥ 5.10 × 10<sup>-3</sup> M measured by the stopped-flow technique. <sup>b</sup>  $k_A = k_{\psi}/[\text{amine}]$  where  $k_{\psi}$  is the pseudo-first-order rate coefficient.

was visualized as either electrostatic or as a hydrogen bonding interaction. Bernasconi et al.<sup>9</sup> found that the rate of deprotonation by OH<sup>-</sup> of certain zwitterionic  $\sigma$  complexes is slower than expected for a diffusion controlled process, indicating intramolecular hydrogen bonding.

More relevant to the present paper are recent suggestions by Pietra et al.,<sup>10</sup> by Kaválek et al.,<sup>11</sup> and by Chapman et al.<sup>12</sup> Pietra et al.<sup>10</sup> point out that plots of  $k_A$  vs. amine concentration have finite intercepts ( $k_1k_2/k_{-1}$ ) in reactions of primary and secondary amines with 1-fluoro-2,4-dinitrobenzene, but zero intercepts in the reactions of *n*-butylamine with 1-fluoro-4,7-dinitronaphthalene and of imidazole with 1-fluoro-2,4-dinitrobenzene (attack by the tertiary nitrogen atom of imidazole is assumed). Since the reactions for which there is a possibility of intramolecular hydrogen bonding are also the ones which lead to a finite intercept, while those where hydrogen bonding is impossible were the ones with a zero intercept, Pietra et al.<sup>10</sup> believe that hydrogen bonding enhances  $k_2$ , thus making  $k_1k_2/k_{-1}$  more comparable to  $k_1k_3^B/k_{-1}$  and thus more easily detectable.

Kaválek et al.<sup>11a</sup> also suggest that intramolecular hydrogen bonding may be particularly effective in stabilizing the transition state of the  $k_2$  step, thereby increasing the contribution of the noncatalyzed pathway in the reactions of piperidine with 4-substituted 2-nitrofluorobenzenes. Chapman et al.<sup>12</sup> believe that the relatively small  $k_3^B/k_2$  ratios in reactions with *o*-nitro-substituted substrates can be explained by a reduction in  $k_3^B$  and an increase in  $k_2$  due to hydrogen bonding.

We note that all these suggestions are contrary to our own theory; they call for an increase in  $k_2$  while ours calls for a decrease in  $k_2$  due to hydrogen bonding.

In an earlier paper, Kaválek et al.<sup>11a</sup> attempted to explain why the reaction of 1-fluoro-2,4-dinitrobenzene with *N*-methylaniline is not catalyzed by *N*-methylaniline, despite evidence which suggests that  $k_2/k_{-1} \ll 1$ , whereas the reaction of the same substrate with aniline is catalyzed by aniline. Their rationalization was that in 3 there is only one acidic hydrogen which is not easily available to the base catalyst due to the hydrogen bond, thus making  $k_3^B[B] \ll k_2$ , while in 4 the base can attack the easily available non-bonded proton, thus making  $k_3^B[B] > k_2$ . This interpretation, which includes some ingredients of our own theory, fails to take into consideration that  $k_2$  should also be re-

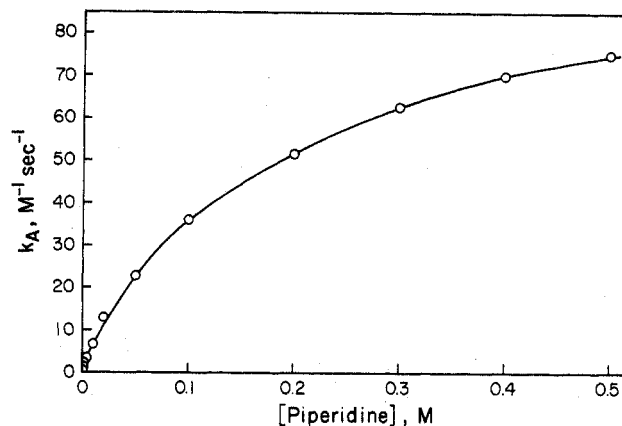


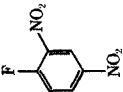
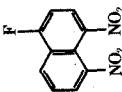
Figure 1. Dependence of  $k_A$  on amine concentration in reaction of piperidine with 1-fluoro-2,4-dinitrobenzene.

Table II  
Reactions of Fluoronitronaphthalenes with *n*-Butylamine  
and Piperidine in Benzene at 25°<sup>a</sup>

[Amine], M	10 <sup>7</sup> $k_{\psi}^b$ sec <sup>-1</sup>	10 <sup>6</sup> $k_A^b$ M <sup>-1</sup> sec <sup>-1</sup>
A. Reaction of 1-Fluoro-4-nitronaphthalene with <i>n</i> -Butylamine		
0.024	0.0838	0.35
0.049	0.361	0.74
0.099	1.50	1.52
0.158	3.96	2.50
0.198	6.44	3.25
0.238	9.52	4.00
0.317	17.4	5.50
0.396	29.9	7.54
B. Reaction of 1-Fluoro-4-nitronaphthalene with Piperidine		
0.01	0.281	2.81
0.02	1.06	5.30
0.03	2.46	8.20
0.04	4.38	10.9
0.05	6.77	13.5
0.06	10.1	16.8
0.10	25.8	25.8
C. Reaction of 1-Fluoro-4,5-dinitronaphthalene with <i>n</i> -Butylamine		
0.01004	0.237	2.36
0.0151	0.460	3.05
0.020	0.875	4.38
0.040	3.34	8.35
0.079	14.6	18.4
0.099	22.6	22.8
0.129	37.7	29.2
0.158	56.9	36.0
0.198	103	52.0
0.238	144	60.7
D. Reaction of 1-Fluoro-4,5-dinitronaphthalene with Piperidine		
0.00196	0.57	29.0
0.00304	1.10	36.1
0.00402	1.76	43.7
0.0059	2.91	49.3
0.00812	5.20	64.1
0.010	7.77	76.9
0.020	26.3	132
0.030	58.3	194
0.040	111	276
0.050	151	303
0.060	224	374
0.080	395	494
0.100	627	627

<sup>a</sup> [Substrate]<sub>0</sub> = 1.3–5 × 10<sup>-4</sup> M. <sup>b</sup>  $k_A = k_{\psi}/[\text{amine}]$ .

Table III  
Dissection of Rate Coefficients

Substrate	Amine	$k_1 k_2 / k_{-1}, M^{-1} \text{sec}^{-1}$	$k_1 k_3 / k_{-1}, M^{-2} \text{sec}^{-1}$	$k_1, M^{-1} \text{sec}^{-1}$	$k_2 / k_{-1}$	$k_3^B / k_{-1}, M^{-1}$	$k_3^B / k_2, M^{-1}$	$(k_2 / k_{-1})_{\text{Bu}} / (k_2 / k_{-1})_{\text{Pip}}$	$(k_3^B / k_{-1})_{\text{Bu}} / (k_3^B / k_{-1})_{\text{Pip}}$
	<i>n</i> -BuNH <sub>2</sub> <sup>a</sup> Piperidine	0.17 <sup>a</sup> 0.72 <sup>c</sup>	35.5 <sup>a</sup> 609 <sup>c</sup>	0.71 <sup>a,b</sup> 95	0.24 <sup>a,b</sup> 0.0075	50 <sup>a,b</sup> 6.4	210 <sup>a</sup> 850	32	7.8
	<i>n</i> -BuNH <sub>2</sub> Piperidine	$\leq 3 \times 10^{-7}$ $1.9 \times 10^{-5}$	$2.28 \times 10^{-4}$ $6.16 \times 10^{-3}$		$\leq 0.005$ $\leq 0.02$	$\leq 4$ $\leq 10$	$\geq 760$ 325	$\leq 2.1^c$	5.33
	<i>n</i> -BuNH <sub>2</sub> Piperidine	$\leq 5 \times 10^{-8}$ $\leq 2 \times 10^{-7}$	$1.62 \times 10^{-5}$ $2.74 \times 10^{-4}$		$\leq 0.015$ $\leq 0.007$	$\leq 5$ $\leq 10$	$\leq 324$ $\leq 1360$		

<sup>a</sup> Reference 15. <sup>b</sup> Calculated from rate data of ref 15 by Bernasconi. <sup>c</sup>  $k_1 k_2 / k_{-1} = 0.5$  and  $k_1 k_3 / k_{-1} = 615$  in ref 14.

duced by hydrogen bonding. In fact our theory predicts that  $k_2$  should be affected by hydrogen bonding about as much as  $k_3^B$  if mechanisms *a* or *c* for base catalysis prevail, but be reduced more than  $k_3^B$  if the SB-GA mechanism prevails.

We now report data on reactions of some fluoronitroaromatics with piperidine and with *n*-butylamine, in benzene solution. They lend support to our hydrogen bonding theory and to the SB-GA mechanism for base catalysis. We shall also show that the above phenomena<sup>10-12</sup> are easily fitted into the present framework.

### Results and Discussion

The reactions of 1-fluoro-2,4-dinitrobenzene both with piperidine<sup>13,14</sup> and with *n*-butylamine<sup>15</sup> have long been known to be base catalyzed by the respective amine nucleophile. Dependence on amine concentration was found to be linear in the case of piperidine<sup>13,14</sup> ( $k_2 + k_3^B[B] \ll k_{-1}$  and thus  $k_A = k_1 k_2 / k_{-1} + k_1 k_3^B [B] / k_{-1}$ ) whereas it is curvilinear in the case of *n*-butylamine<sup>15</sup> ( $k_2 / k_{-1} = 0.24$ ,<sup>3a</sup>  $k_3^B / k_{-1} = 50$ <sup>3a</sup>). These two reactions thus epitomize the general findings discussed in the introduction.

Owing to the high rate, the piperidine reaction had only been investigated at piperidine concentrations  $\leq 1.43 \times 10^{-2} M$ .<sup>13</sup> It was conceivable that curvature in the plot of  $k_A$  vs. piperidine concentration would be obtained at higher concentrations, thus enabling one to calculate the values for  $k_2 / k_{-1}$  and  $k_3^B / k_{-1}$ . Employing the stopped-flow method we have now measured  $k_A$  at piperidine concentrations up to 0.5 *M*. The results are summarized in Table I. A plot of  $k_A$  vs. concentration is in fact curvilinear as shown in Figure 1; using standard procedures<sup>4,16</sup> we calculate  $k_1 = 95 M^{-1} \text{sec}^{-1}$ ,  $k_2 / k_{-1} = 0.0075$ ,  $k_3^B / k_{-1} = 6.4 M^{-1}$ . Comparing these values with those of the *n*-butylamine reaction (Table III) we note that  $k_2 / k_{-1}$  is 32-fold larger for *n*-butylamine whereas  $k_3^B / k_{-1}$  (*B* = *n*-butylamine) is 7.8-fold larger than  $k_3^B / k_{-1}$  (*B* = piperidine).

In order to check whether the ratios  $(k_2 / k_{-1})_{\text{Bu}} / (k_2 / k_{-1})_{\text{Pip}}$  and  $(k_3^B / k_{-1})_{\text{Bu}} / (k_3^B / k_{-1})_{\text{Pip}}$  would change in the absence of an *o*-nitro group, the reactions of the two amines with 1-fluoro-4-nitronaphthalene and with 1-fluoro-4,5-dinitronaphthalene were investigated. In these substrates the steric requirements of the second benzene ring (peri hydrogen) are only slightly smaller than those of an *o*-nitro group and thus the steric effect should be nearly constant for our purposes.

The results are summarized in Table II. All plots of  $k_A$  are linear up to at least 0.1 *M* amine<sup>17</sup> and thus conform to eq 3.

$$k_A = \frac{k_1 k_2}{k_{-1}} + \frac{k_1 k_3^B [B]}{k_{-1}} \quad (3)$$

The intercept ( $k_1 k_2 / k_{-1}$ ) in the reaction of piperidine with 1-fluoro-4,5-dinitronaphthalene is appreciable and easily determined. In the other reactions it is very small and cannot be distinguished from zero because the rates become too slow at the amine concentrations which would be low enough for measurements close to the intercept. We have nevertheless estimated an upper limit for  $k_1 k_2 / k_{-1}$  by setting the standard deviation of the intercept equal to this upper limit. They are summarized in Table III, along with the slopes ( $k_1 k_3^B / k_{-1}$ ). By taking the ratios of slope/intercept,  $k_3^B / k_2$  or lower limits thereof can also be calculated.

Furthermore, our data allow upper limits for  $k_2 / k_{-1}$  and  $k_3^B / k_{-1}$  to be estimated as follows. Since the plots of  $k_A$  vs. amine concentration do not curve down even at the highest amine concentration ( $[B]_{\text{max}}$ ), one can infer  $k_3^B [B]_{\text{max}} / k_{-1} \ll 1$  or  $k_3^B / k_{-1} \ll 1 / [B]_{\text{max}}$ . From  $k_2 / k_{-1} = (k_3^B / k_{-1}) / (k_3^B / k_2)$  one then finds the upper limits of  $k_2 / k_{-1}$ .

Let us now first focus on the change in  $k_2/k_{-1}$  when going from 1-fluoro-2,4-dinitrobenzene to the naphthalene derivatives. We note that  $k_2/k_{-1}$  is strongly reduced for both amines. Since, compared to 1-fluoro-2,4-dinitrobenzene, the naphthalenes are (1) slightly less hindered, (2) less activated electronically, and (3) lack the *o*-nitro group, the change in  $k_2/k_{-1}$  could in principle be due to any one or a combination of these factors.

**Steric Effect.** If there is a steric effect at all, it would lead to an increase in  $k_2/k_{-1}$  for the reaction of piperidine with the naphthalene derivatives. The data show that  $k_2/k_{-1}$  decreases, indicating that other, much more important factors, overcompensate whatever steric effect there is.

**Electronic Effects.** One has to distinguish two electronic effects. The first is the well-known "activating effect" of electron-withdrawing substituents in nucleophilic aromatic substitution, which enhances  $k_1$  but reduces  $k_{-1}$  and  $k_2$ . The second results in a greater acidity of the ammonio proton in the intermediate, thereby making it a better acid catalyst for leaving group departure, i.e. stabilizing the transition state (5) of the  $k_2$  step. Since the two effects on  $k_2$  are in opposite directions, and thus tend to make the net effect on  $k_2$  rather small, it is conceivable that the reduced electronic activation in the naphthalene derivatives enhances  $k_{-1}$  much more than it does  $k_2$  (Hammett  $\rho$  value larger for  $k_{-1}$  than for  $k_2$ ) leading to very small  $k_2/k_{-1}$  ratios for both amines.

This, however, would be in contradiction to the recent findings by Kaválek and Štěrba<sup>11a</sup> that  $k_2/k_{-1}$  is relatively insensitive to changes in the 4 substituent in reactions of piperidine with 4-substituted 2-nitrofluorobenzenes in benzene solution. Extrapolating their data to our situation, a reduction of  $k_2/k_{-1}$  by a factor of 20–50 is the most to be expected (it is probably less) from the smaller electronic activation in the 1-fluoro-4,5-dinitronaphthalene compared to the 1-fluoro-2,4-dinitrobenzene reactions. This contrasts with our *n*-butylamine reactions where  $k_2/k_{-1}$  is reduced from 0.24 to  $\ll 0.005$ , i.e., probably at least  $10^3$ -fold and possibly more.

**Hydrogen Bonding to *o*-Nitro Group.** The hydrogen bonding theory, in the case of *n*-butylamine, easily accounts for that part in the reduction of  $k_2/k_{-1}$  which cannot be explained by the electronic effects. Thus the first prediction of the hydrogen bonding theory is verified, viz., that the *o*-nitro group significantly reduces  $k_{-1}$ . The second prediction, viz., that hydrogen bonding also reduces  $k_2$ , but more so for secondary amines, remains to be proven.

Support for this second assertion comes from a somewhat different analysis of the data in Table III. One may estimate the ratio  $(k_2/k_{-1})_{\text{Bu}}/(k_2/k_{-1})_{\text{pip}}$  for 1-fluoro-4,5-dinitronaphthalene by assuming that the relative nucleophilic reactivities of the two amines toward 1-fluoro-4,5-dinitronaphthalene are about the same as toward 1-fluoro-2,4-dinitrobenzene, i.e.,  $(k_1)_{\text{Bu}}/(k_1)_{\text{pip}} \approx 0.0075$ . This provides  $(k_2/k_{-1})_{\text{Bu}}/(k_2/k_{-1})_{\text{pip}} \leq 2.1$ , which is  $\geq 15$  times lower than with 1-fluoro-2,4-dinitrobenzene. This shows that removal of the *o*-nitro group indeed reduces  $(k_2/k_{-1})_{\text{Bu}}$  more (large increase in  $k_{-1}$  not compensated by equivalent increase in  $k_2$ ) than it reduces  $(k_2/k_{-1})_{\text{pip}}$  (large increase in  $k_{-1}$  partially compensated by comparable increase in  $k_2$ ), as predicted.

Strictly speaking the above considerations still do not constitute an absolute proof of the hydrogen bonding theory because the steric theory also predicts that  $(k_2/k_{-1})_{\text{Bu}}$  and  $(k_2/k_{-1})_{\text{pip}}$  become more similar to each other the less steric hindrance there is. However, it is doubtful whether the small reduction of steric crowding in the naphthalene derivative could account for a  $\geq 15$ -fold change. More com-

elling evidence on this point comes from a comparison of the  $k_3^{\text{B}}/k_{-1}$  ratios.

The steric theory predicts that  $(k_3^{\text{B}}/k_{-1})_{\text{Bu}}$  and  $(k_3^{\text{B}}/k_{-1})_{\text{pip}}$  should become more similar to each other in the less crowded compound, by a similar amount as the  $k_2/k_{-1}$  ratios. Incidentally, the hydrogen bonding theory, coupled with mechanism a or c for base catalysis, leads to the same prediction. However, if the SB-GA mechanism is assumed to prevail instead, the hydrogen bonding theory predicts that  $(k_3^{\text{B}}/k_{-1})_{\text{Bu}}$  and  $(k_3^{\text{B}}/k_{-1})_{\text{pip}}$  should not change relative to one another.<sup>18</sup> Our data bear this out: the ratio  $(k_3^{\text{B}}/k_{-1})_{\text{Bu}}/(k_3^{\text{B}}/k_{-1})_{\text{pip}}$  is practically independent of substrate.

Thus the combined data on  $k_2/k_{-1}$  and on  $k_3^{\text{B}}/k_{-1}$  support the hydrogen bonding theory as well as the SB-GA mechanism for base catalysis.

**Interpretation of Phenomena Reported by Other Investigators.** The phenomena, mentioned in the introduction, which led other investigators<sup>10–12</sup> to propose hydrogen bonding theories different from ours, can either be fitted into the framework presented here or explained by other well-known effects. For example, the negligible intercept in the  $k_A$  vs. amine concentration plot for the reaction of *n*-butylamine with 1-fluoro-4,7-dinitronaphthalene<sup>10b</sup> is similar to our findings with 1-fluoro-4-nitronaphthalene and 1-fluoro-4,5-dinitronaphthalene and thus can be explained similarly. Or, the negligible intercept in the reaction of imidazole (attack by tertiary nitrogen atom) with 1-fluoro-2,4-dinitrobenzene probably arises from the fact that no proton whatever (hydrogen bonded or not) is available to assist fluoride ion expulsion in the  $k_2$  step.

Or, the greater increase in  $k_3^{\text{B}}/k_{-1}$  compared to  $k_2/k_{-1}$  with increasing electronic activation in reactions of piperidine with 4-substituted 2-nitrofluorobenzenes<sup>11a</sup> is consistent with the SB-GA mechanism for the  $k_3^{\text{B}}$  step. This is because in the  $k_3^{\text{B}}$  step the intermediate is deprotonated in an equilibrium reaction and thus the full acidifying effect of electron-withdrawing 4 substituents on the proton is felt in  $k_3^{\text{B}}$ , while in the  $k_2$  step the same proton is only partially transferred in the transition state and thus  $k_2$  is less sensitive to the acidifying effect of an electron-withdrawing substituent. Or, the absence of catalysis by *N*-methylaniline in the reaction of *N*-methylaniline with 1-fluoro-2,4-dinitrobenzene<sup>11b</sup> (which contrasts with the observation of catalysis by aniline of the reaction of aniline with the same substrate) is probably most easily rationalized by a steric hindrance to the access of the catalyst, a well-known phenomenon with bulky bases.<sup>3a</sup>

## Experimental Section

**Materials.** 1-Fluoro-2,4-dinitrobenzene (Aldrich) and 1-fluoro-4-nitronaphthalene (Aldrich) were recrystallized from ethanol, mp 25–26 and 79–80°, respectively. 1-Fluoro-4,5-dinitronaphthalene was prepared from 1-chloro-4,5-dinitronaphthalene<sup>19</sup> by the method of Pietra et al.<sup>20</sup> used for 1-fluoro-4,7-dinitronaphthalene, yield 20%, mp 192–193°.

Anal. Calcd for  $\text{C}_{10}\text{H}_5\text{N}_2\text{O}_4\text{F}$ : C, 50.86; H, 2.13; N, 11.86. Found: C, 50.72; H, 2.13; N, 11.76.

*N*-(4-Nitronaphthyl)piperidine [mp 74–75°,  $\lambda_{\text{max}}$  in benzene 395 nm ( $\epsilon$  8900)], *N*-(4-nitronaphthyl)-*n*-butylamine [mp 158–159°,  $\lambda_{\text{max}}$  in benzene 409 nm ( $\epsilon$  15700)], *N*-(4,5-dinitronaphthyl)piperidine [mp 159–160°,  $\lambda_{\text{max}}$  in benzene 390 nm ( $\epsilon$  7550)], and *N*-(4,5-dinitronaphthyl)-*n*-butylamine [mp 197°,  $\lambda_{\text{max}}$  in benzene 420 nm ( $\epsilon$  10500)] were prepared by adapting the method of Bunnett and Randall<sup>21</sup> used for *N*-methyl-2,4-dinitroaniline; the elementary analyses were all excellent. Reagent grade benzene was refluxed over Na-K alloy for 24 hr and distilled immediately before use. Piperidine and *n*-butylamine (both Mallinckrodt) were refluxed over sodium for 12 hr and distilled under nitrogen.

**Rate Measurements.** The general photometric procedure of Bernasconi and Zollinger<sup>14</sup> was used for the slow reactions. All re-

actions were run in the dark. The reactions with the naphthalene compounds gave only good first-order plots and quantitative yields when run under a nitrogen atmosphere. The reaction of 1-fluoro-4-nitronaphthalene with *n*-butylamine was extremely slow at low amine concentrations and the determination of an infinity value or even an evaluation by the Guggenheim method became impractical. In these runs the reaction was only followed during the first few percent and the infinity value calculated under the assumption of a quantitative yield. The stopped-flow experiments for the reaction of 1-fluoro-2,4-dinitrobenzene with piperidine were carried out on a Durrum<sup>22</sup> stopped-flow spectrophotometer.<sup>23</sup>

The evaluation of the curvilinear plot of  $k_A$  vs. amine concentration, to provide  $k_1$ ,  $k_2/k_{-1}$ , and  $k_3^B/k_{-1}$ , was according to standard procedures<sup>4,16</sup> in the reaction of piperidine with 1-fluoro-2,4-dinitrobenzene.

**Acknowledgments.** This work was supported by the Alfred P. Sloan Foundation. We also thank Professor J. F. Bunnett for criticism of the manuscript.

**Registry No.**—1-Fluoro-2,4-dinitrobenzene, 70-34-8; 1-fluoro-4-nitronaphthalene, 341-92-4; 1-fluoro-4,5-dinitronaphthalene, 52385-37-2; *N*-(4-nitronaphthyl)piperidine, 34599-45-6; *N*-(4-nitronaphthyl)-*n*-butylamine, 57091-55-1; *N*-(4,5-dinitronaphthyl)piperidine, 57091-56-2; *N*-(4,5-dinitronaphthyl)-*n*-butylamine, 57091-57-3; piperidine, 110-89-4; *n*-butylamine, 109-73-9.

### References and Notes

- Presented, in part, at the 163rd National Meeting of the American Chemical Society, Boston, Mass., April 1972, Abstracts, No. ORGN-55.
- (a) Alfred P. Sloan Fellow, 1971–1973; (b) Facultad de Ciencias Químicas, Universidad Nacional de Córdoba, Córdoba, Argentina; part of this work was carried out at Córdoba.
- For recent reviews see (a) C. F. Bernasconi, *MTP Int. Rev. Sci., Org. Chem. Ser. One*, 1973, 3, 33 (1973); (b) F. Pietra, *Q. Rev., Chem. Soc.*, 23, 504 (1969); (c) J. Miller, "Aromatic Nucleophilic Substitution", American Elsevier, New York, N.Y., 1968; (d) T. J. de Boer and I. P. Dirix in "The Chemistry of the Nitro and Nitroso Groups", Part I, H. Feuer, Ed., Interscience, New York, N.Y., 1969, p 487; (e) S. D. Ross, *Prog. Phys. Org. Chem.*, 1, 31 (1963).
- J. F. Bunnett and R. H. Garst, *J. Am. Chem. Soc.*, 87, 3875 (1965).
- Another possible steric effect could arise from release of repulsion between amine moiety and leaving group in the  $\sigma$  complex as the leaving group departs (acceleration of  $k_2$  and  $k_3^B$  steps). Since the steric interaction between amine moiety and leaving group is much smaller than that between amines and the aromatic system plus ortho substituent(s), this effect is expected to be quite small.
- Z. Rappoport and J. F. Bunnett, *Acta Chem. Scand., Ser. B*, 28, 478 (1974).
- Intermolecular hydrogen bonding in the ion pair between  $RNH_3^+$  (or  $RR'NH_2^+$ ) and the anionic  $\sigma$  complex is a possibility, but since it is the same for both types of amines it does not discriminate with respect to  $k_3^B$  either.
- J. F. Bunnett and R. J. Morath, *J. Am. Chem. Soc.*, 77, 5051 (1955).
- (a) C. F. Bernasconi, *J. Phys. Chem.*, 75, 3636 (1971); (b) C. F. Bernasconi and F. Terrier, *J. Am. Chem. Soc.*, 97, 7458 (1975).
- (a) F. Pietra and F. Del Cima, *J. Chem. Soc., Perkin Trans. 2*, 1420 (1972); (b) G. Biggi, F. Del Cima, and F. Pietra, *ibid.*, 188 (1972).
- (a) J. Kaválek and V. Sterba, *Collect. Czech. Chem. Commun.*, 38, 884 (1973); (b) J. Kaválek, J. Kubias, and V. Sterba, *ibid.*, 37, 4041 (1972).
- D. M. Brewis, N. B. Chapman, J. S. Paine, J. Shorter, and D. J. Wright, *J. Chem. Soc., Perkin Trans. 2*, 1787 (1974).
- F. Pietra and A. Fava, *Tetrahedron Lett.*, 1535 (1963).
- C. F. Bernasconi and H. Zollinger, *Helv. Chim. Acta*, 49, 103 (1966).
- F. Pietra and D. Vitali, *J. Chem. Soc. B*, 1200 (1968).
- (a) C. F. Bernasconi, *J. Org. Chem.*, 32, 1947 (1967); (b) C. F. Bernasconi and H. Zollinger, *Helv. Chim. Acta*, 50, 3 (1967).
- At higher amine concentrations the plots show a slight upward curvature which can be attributed to a medium effect.
- Their absolute values do of course change from one substrate to the other.
- H. F. Basillos, A. U. Salem, and M. Shawky, *Recl. Trav. Chim. Pays-Bas*, 81, 209 (1962).
- F. Pietra, D. Vitali, and S. Frediani, *J. Chem. Soc. B*, 1595 (1968).
- J. F. Bunnett and J. J. Randall, *J. Am. Chem. Soc.*, 80, 6020 (1958).
- Durrum Instruments, Palo Alto, Calif.
- We thank Mrs. H. S. Cross for technical assistance in these experiments.

## Electronegativity, Hybridization, and Properties of the Carbonyl Group. I. Lewis Basicity

Carlos A. L. Filgueiras<sup>1a</sup> and James E. Huheey<sup>\*1b</sup>

Department of Chemistry, University of Maryland, College Park, Maryland 20742

Received June 4, 1975

The basicity of a series of lactams,  $C(O)N(CH_2)_n$ , lactones,  $C(O)O(CH_2)_n$ , cyclic ureas,  $CH_3NC(O)N(CH_2)_n$ , and cyclic carbonates,  $OC(O)O(CH_2)_n$ ,  $n = 2$  to  $n = 5$ , was studied with respect to the acids phenol and 1,1,1,3,3,3-hexafluoro-2-propanol. The enthalpies of reaction (measured by infrared spectroscopy,  $\Delta\nu_{CO}$ ) increased in every case: four-membered rings < five-membered rings < six-membered rings  $\leq$  seven-membered rings. The results are explained in terms of the charge capacity of the methylene groups (inductive effect), the effect of ring size upon hybridization and electronegativity, and steric inhibition of resonance from ring strain in small rings.

Although the Lewis basicity of the carbonyl group has been widely studied,<sup>2-5</sup> the factors contributing to the base strength have never been adequately clarified. In this paper we report experiments which indicate that previous workers may have overemphasized certain contributions and minimized other, equally important ones.

It has long been known that the carbonyl group in amides is more basic than that in ketones<sup>2f,6</sup> when measured in nonpolar solvents. Furthermore, the basicity of esters is only slightly less than that of ketones<sup>2f</sup> despite the inductive effect of the electronegative amido and alkoxy groups in the carboxylic acid derivatives. More recently, a limited number of gas-phase proton affinities have been determined. The proton affinity of acetamide is about 37 kcal/mol more exothermic than that of acetone and esters are at least as basic as acetone.<sup>7</sup>

We have undertaken the study of the basicity of a series of cyclic bases in nonpolar solvents. We here report the basicities of several lactones, cyclic carbonates, lactams, and cyclic ureas toward phenol and 1,1,1,3,3,3-hexafluoro-2-propanol (HFIP) as measured by infrared spectroscopy ( $\Delta\nu$  of the OH band<sup>4</sup>). We use the term *basicity* in its broadest sense, the ability of an electron donor to donate electron density to an electron acceptor,<sup>8a</sup> which is conveniently measured operationally by the enthalpy of reaction. Ideally, of course, the gas-phase proton affinities are desirable,<sup>8b</sup> but in view of the interesting effects and some controversy arising from hard-soft interactions<sup>8c,9</sup> or, alternatively, electrostatic-covalent effects,<sup>8d,10</sup> we feel that restricting the definition of basicity to proton affinities is undesirable and will simply require that a neologism be invented to replace the old term.